ENGINEERING TRUSTABLE AI

Verification Futures

Gareth Richards Al Network Manager

TechWorks

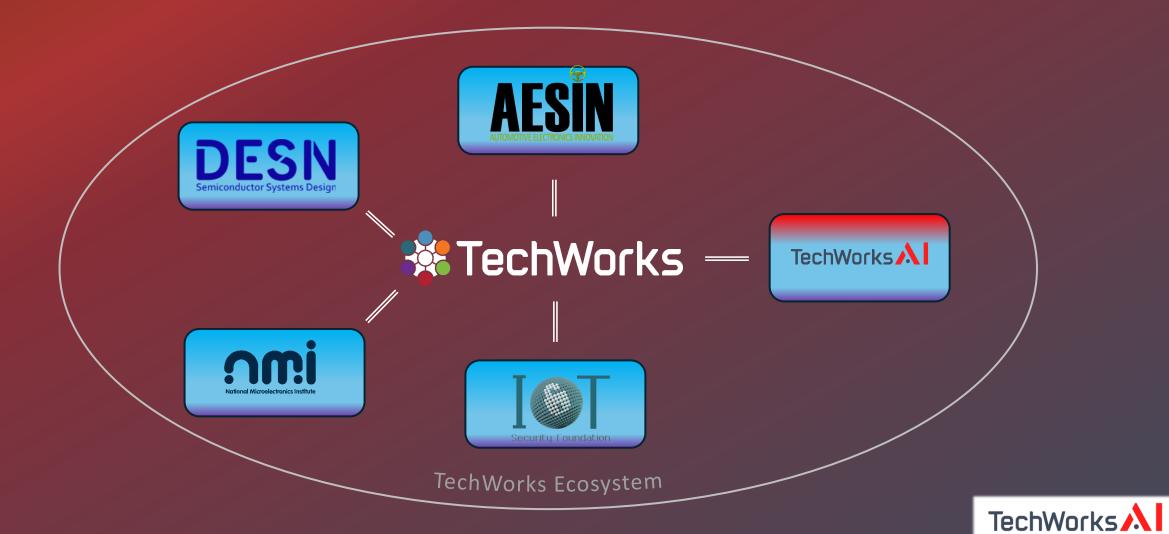


TechWorks





The TechWorks Ecosystem



The TechWorks Ecosystem

>300 members















































































What we do



Thought Leadership & Cross-sector Collaboration

Sector Analysis & Research





Networking & Events

Government Relations





Industry Strategy & Advocacy

Collaborative Projects





Best Practice & Open Standards



TAIBOM



Trustable Al Bill Of Materials - Project partners

- BAE Systems
- BSI
- Copper Horse
- NquiringMinds
- TechWorks-Al
- University of Oxford



Versioning, Attestation, Integrity



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Versioning, Attestation, Integrity

Trustability



TRUST

What do we mean by trust?



The willingness of a party to be vulnerable to the actions of another party based on the expectation that the other will perform a particular action important to the trustor, irrespective of the ability to monitor or control that other party*

*The Academy of Management Review, Vol.20, No. 3 (Jul., 1995), pp.709-734 (26 pages) https://doi.org/10.2307/258792



Trusting soup...

Integrity

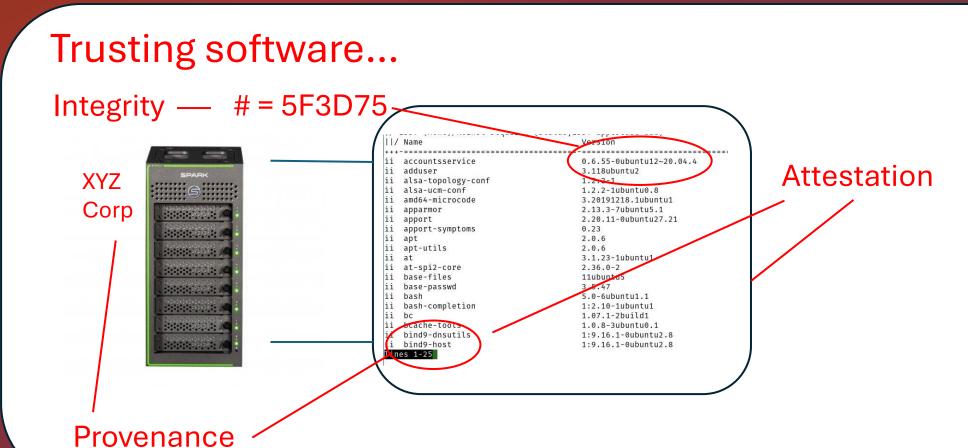


FILTERED WATER, ORGANIC DICED TOMATOES, ORGANIC ONIONS, ORGANIC CARROTS, ORGANIC KIDNEY BEANS, ORGANIC POTATOES, ORGANIC CELERY, ORGANIC GREEN BEANS, ORGANIC PASTA (ORGANIC DURUM WHEAT SEMOLINA FLOUR, WATER), ORGANIC PEAS, ORGANIC LEEKS, SEA SALT, ORGANIC HIGH OLEIC SAFFLOWER AND/OR SUNFLOWER OIL, ORGANIC SPICES, ORGANIC BASIL, ORGANIC GARLIC, ORGANIC BLACK PERPER. CONTAINS WHEAT.

Attestation

Provenance







Trusting software...SBOM



```
Version
+++------
ii accountsservice
                                   0.6.55-Oubuntu12~20.04.4
ii adduser
                                   3.118ubuntu2
ii alsa-topology-conf
                                   1.2.2-1
ii alsa-ucm-conf
                                   1.2.2-1ubuntu0.8
ii amd64-microcode
                                   3.20191218.1ubuntu1
ii apparmor
                                   2.13.3-7ubuntu5.1
ii apport
                                   2.20.11-Oubuntu27.21
ii apport-symptoms
ii apt
                                   2.0.6
ii apt-utils
                                   2.0.6
ii at
                                   3.1.23-1ubuntu1
ii at-spi2-core
                                   2.36.0-2
ii base-files
                                   11ubuntu5
ii base-passwd
                                   3.5.47
ii bash
                                   5.0-6ubuntu1.1
ii bash-completion
                                   1:2.10-1ubuntu1
ii bc
                                   1.07.1-2build1
ii bcache-tools
                                   1.0.8-3ubuntu0.1
ii bind9-dnsutils
                                   1:9.16.1-Oubuntu2.8
ii bind9-host
                                   1:9.16.1-0ubuntu2.8
lines 1-25
```

- But wait we DID write all our own software!
- What about the compiler, what about the OS etc?

- Did we write all of the software (probably not)?
- What is the provenance of library elements, object code drivers etc?
- Do we know they are all vulnerability free? Can we prove it?
- EU Cyber Resilience Act (CRA)
 2026/7 software must have an
 SBOM and have no known
 exploitable vulnerabilities
- US EO 14028 now SBOM must be provided for federal projects





"The reality is we know more about what is in our sausages than our software"

Ollie Whitehouse, CTO, NCSC



Regulated industries have been worrying about this for some time. The CRA, in particular, now imposes similar requirements on most <u>commercial</u> products



- Payments PCI & UK Finance
 - Mandatory third-party (accredited lab) security evaluation of all software components. Certification to Common Criteria EAL 4+



- Medical Devices
 - IEC 62304 Software Lifecycle Process required (or equivalent) for US FDA and EU MDR compliance
- Etc

SOUP

Software Of Unknown Provenance



EU Product Liability Directive – implementation by December 2026

"For the first time, **software** - both embedded and standalone - will be treated as a product, subject to the <u>same rigorous liability rules as physical goods</u>.

Manufacturers face liability for up to 10 years for defective products, extended to 25 years for latent personal injury claims."

www.fladgate.com



Traditional software

behaviour

Locked functional (compiled) specification- updated regularly with small configuration file. Functionality - defined **Config data**

EXE

Config data

EXE

Can we hash and sign this quickly enough to be useful?

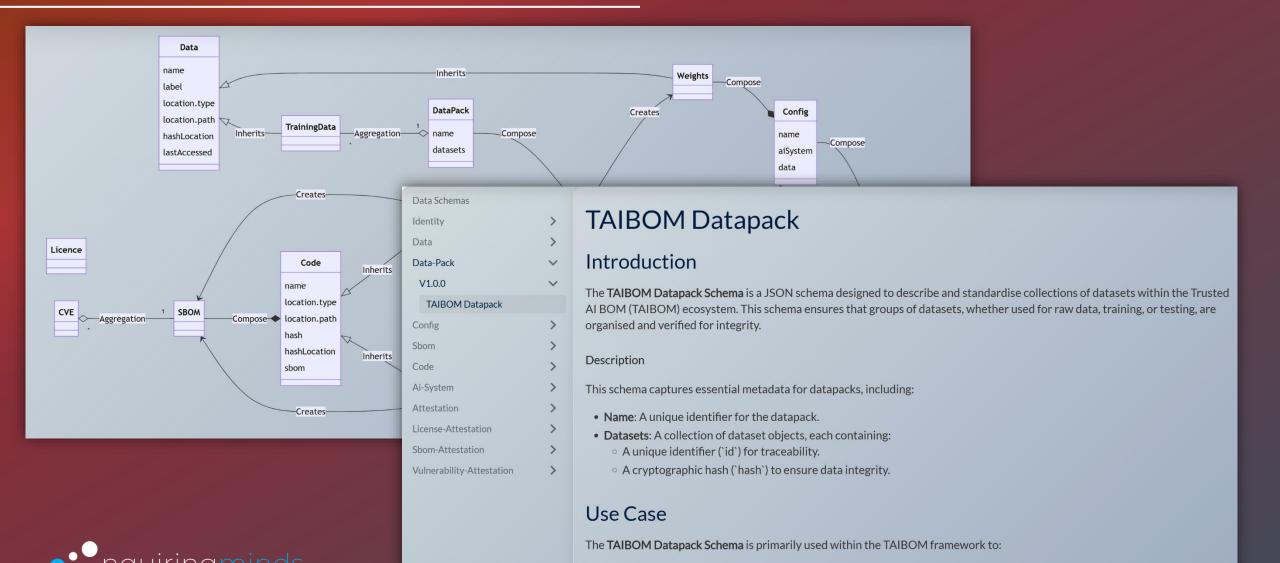
A

Small functional code, infrequently updated with huge configuration file (weights)
Data driven behaviour





TAIBOM is an emerging standard to describe and manage AI systems and AI system risk. TAIBOM addresses the full AI supply chain, from training data through the results that AI systems produce.



1. Organise Dataset Collections: Provide a standardised format for grouping datasets used in Al workflows.

What Claims / Attestations can be made?

XYZ made this

Authorship claim

It was made this way

A statement of conformance or best practice

It works well

A statement of performance – test results

It didn't break

A positive pen test

Software licenses

Code can be used this way

Data licenses

Data was intended for this purpose

Export Conditions

Restrictions or FOCI elements

Anything else

You decide what else you want....





Who can/could make the claims?

The author

Creator of data

The developer

Creator of code

The publisher

Financial underwriter

The auditor

Someone who tested it

A third party

Any trusted (untrusted) entity

An agent

Al analysing Al

• • • •





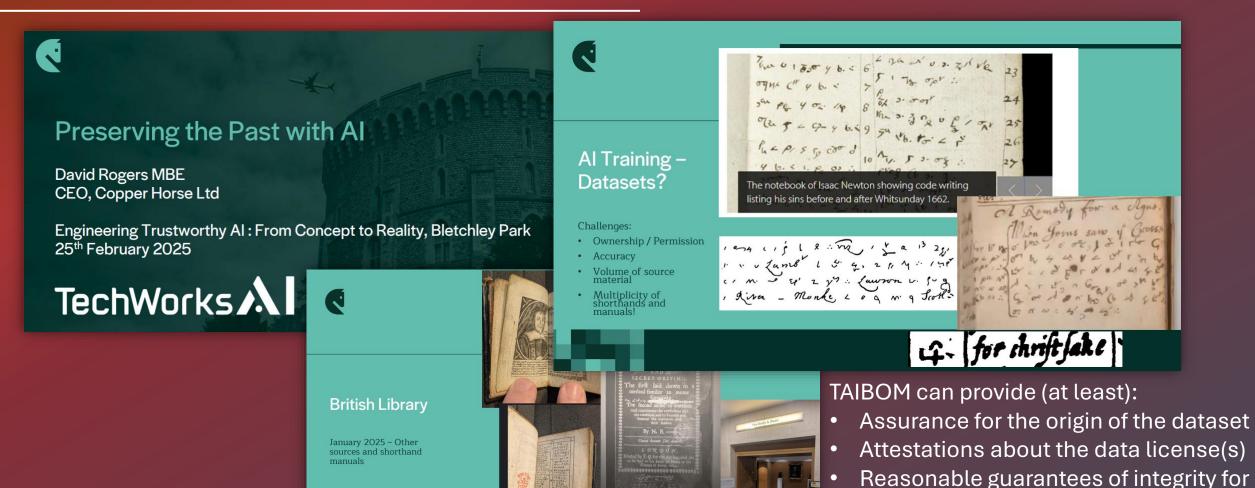
Use cases



Use case – 17th century shorthand

the dataset and model

Peer review attestations

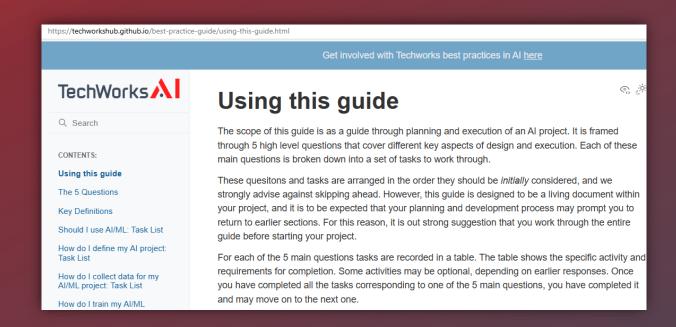


COPPER HORSE

And also.....

Best Practice Guide For Al Systems

Model Cards Plus (MC+)





Department for Science, Innovation & Technology

Joining TechWorks-AI – <u>www.techworksai.org</u>

The TAIBOM project – www.taibom.org

We are very interested in **new use-cases** to apply TAIBOM, Best Practice Guide and MC+

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Annual Conference – 30th Oct. IET London

